Attorney Docket: BHT/3230-99

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

TSAL ....

a 2004 Application No.

10/796,977

March 11, 2004

Title

METHOD AND APPARATUS FOR

INTERFRAME WAVELET VIDEO CODING

**Group Art Unit** 

2621

Examiner

Unassigned

Docket No.

BHT/3230-99

#### OFFICE OF INITIAL PATENT EXAMINATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL COVER SHEET

Sir:

Transmitted herewith for filing are the following:

- 1. INFORMATION DISCLOSURE STATEMENT.
- 2. Form PTO-1449 (in duplicate), along with copies of the eight (8) articles cited therein.

The Commissioner is hereby authorized to charge any fees which may be required for the filing of this document to Deposit Account No. 501874

Respectfully submitted,

Date: June 9, 2004

By:

Bruce H. Troxell Reg. No. 26,592

### TROXELL LAW OFFICE PLLC

5205 Leesburg Pike, Suite 1404 Falls Church, Virginia 22041 Telephone: (703) 575-2711 Telefax: (703) 575-2707

Attorney Docket: BHT/3230-99

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

TSAI

Application No.

10/796.977

Filed

March 11, 2004

Title

METHOD AND APPARATUS FOR

INTERFRAME WAVELET VIDEO CODING

Group Art Unit

2621

Examiner

Unassigned

Docket No.

BHT/3230-99

### OFFICE OF INITIAL PATENT EXAMINATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 CFR 1.56, and 37 CFR 1.97-1.98, the documents listed on the attached form PTO-1449 are hereby made of record in this patent application. Copies of the listed documents, excluding any U.S. patent/publication references, are enclosed.

As this Information Disclosure Statement is being filed prior to the mailing of the first Official Action in this application, no fee is believed due in order to have the enclosed references considered by the Examiner and made of record in the application.

Early action on the merits of the application is earnestly solicited.

Respectfully submitted,

Date: June 9, 2004

By:

Bruce H. Troxelf Reg. No. 26,592

## TROXELL LAW OFFICE PLLC

5205 Leesburg Pike, Suite 1404 Falls Church, Virginia 22041 Telephone: (703) 575-2711

Telefax: (703) 575-2707

							Sheet 1 of 1				
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: JUNE 9, 2004			ATTY DOCKET	ATTY DOCKET NO. 3230-99 APPL		PLICATION NO. 10/796,977					
			APPLICANT TSAI et al.								
			FILING DATE March 11, 2004			GROUP <b>2621</b>					
U.S. PATENT DOCUMENTS											
ONITIAL	DOCUMENT NUMBER	DATE	NAME CLASS		\ss	SUBCLASS	FILING DATE IF APPROPRIATE				
. NOS e n MUL				,							
	9										
FRADENA	··										
FOREIGN PATENT DOCUMENTS											
	DOCUMENT NUMBER	DATE	COU	NTRY C	LASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT				
3.	·										
	ОТ	HER DOCUMENT(S) (Includ	ding Author, Title, D	Date, Pertinent Pages, Et	c.)						
W.P. Li; "Overview of Fine Granularity Scalability In MPEG-4 Video Standard"; <i>IEEE Transactions On Circuits and Systems for Video Technology</i> ; Vol. 11, pp. 301-317; March 2001											
		J.W. Woods et al.; "Improved MC-EZBC With Quarter-Pixel Motion Vectors"; ISO/IEC/JTCI SC29/WG11 Doc. No. M8366; 16 pages; May 2002									
		J.R. Ohm; "Three-dimensional Subband Coding With Motion Compensation"; <i>IEEE Transactions on Image Processing</i> ; Vol. 3:5; pp. 559-571; 1994									
	Coding With H	S.THsiang et al.; "Invertible Three-dimensional Analysis/Synthesis System For Video Coding With Half-Pixel-Accurate Motion Compensation"; SPIE Conference on Visual Communication and Image Processing; Vol. 3653; pp. 537-546; Jan 1999									
-1	Subband/Wave	S.T. Hsiang et al.; "Embedded Video Coding Using Invertible Motion Compensated 3-D Subband/Wavelet Filter Bank"; Signal Processing: Image Communications; Vol. 16; pp. 705-724; May 2001									
·		J.W. Woods; "AHG on Digital Cinema Video Coding Technology"; ISO/IEC/JTC1/SC29/WG11 Doc. No. M7645; Pattaya; pp. 1-15; December 2001									
		P.S. Chen et al.; "Comparison of MC-EZBC and H.26L TM8 on Digital Cinema Test Sequences"; ISO/IEC/JTC1/SC29/WG11 Doc. No. M8130; Cheju Island; 6 pages; March 2002									
	Evidence on S	H.M. Hang et al.; "Motion Information Scalability for MC-EZBC: Response to Call for Evidence on Scalable Video Coding"; <i>ISO/IEC/JTC1 SC29/WG11 Doc. No. M9756</i> ; Trondheim, Norway; pp. 1-17; July 2003									
EXAMINER				DATE CONSIDERED							

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

							Sheet 1 of 1			
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: JUNE 9, 2004			ATTY DOCKET NO. 3230-99 APPL			LICATION NO. 10/796,977				
			APPLICANT TSAI et al.							
			FILING DATE March 11, 2004			GROUP <b>2621</b>				
		U.S. P/	ATENT DOCUME	:NTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME CLASS		LASS	SUBCLASS	FILING DATE IF APPROPRIATE			
ь.	·									
<del></del>		FOREIGN	PATENT DOCUM	MENTS	<del></del> ,					
	DOCUMENT NUMBER	DATE	col	COUNTRY CLASS		SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT			
	OTHE	R DOCUMENT(S) (Includ	ding Author, Title,	Date, Pertinent Pages,	Etc.)					
W.P. Li; "Overview of Fine Granularity Scalability In MPEG-4 Video Standard"; <i>IEEE Transactions On Circuits and Systems for Video Technology</i> ; Vol. 11, pp. 301-317; March 2001										
	J.W. Woods et al. SC29/WG11 Doc	J.W. Woods et al.; "Improved MC-EZBC With Quarter-Pixel Motion Vectors"; ISO/IEC/JTCI SC29/WG11 Doc. No. M8366; 16 pages; May 2002								
	J.R. Ohm; "Three on Image Proces	J.R. Ohm; "Three-dimensional Subband Coding With Motion Compensation"; <i>IEEE Transactions on Image Processing</i> ; Vol. 3:5; pp. 559-571; 1994								
	S.THsiang et al Coding With Half	S.THsiang et al.; "Invertible Three-dimensional Analysis/Synthesis System For Video Coding With Half-Pixel-Accurate Motion Compensation"; SPIE Conference on Visual Communication and Image Processing; Vol. 3653; pp. 537-546; Jan 1999								
	Subband/Wavele	S.T. Hsiang et al.; "Embedded Video Coding Using Invertible Motion Compensated 3-D Subband/Wavelet Filter Bank"; Signal Processing: Image Communications; Vol. 16; pp. 705-724; May 2001								
	J.W. Woods; "AF ISO/IEC/JTC1/SC	J.W. Woods; "AHG on Digital Cinema Video Coding Technology"; ISO/IEC/JTC1/SC29/WG11 Doc. No. M7645; Pattaya; pp. 1-15; December 2001								
	P.S. Chen et al.;	P.S. Chen et al.; "Comparison of MC-EZBC and H.26L TM8 on Digital Cinema Test Sequences"; ISO/IEC/JTC1/SC29/WG11 Doc. No. M8130; Cheju Island; 6 pages; March 2002								
	Evidence on Sca	H.M. Hang et al.; "Motion Information Scalability for MC-EZBC: Response to Call for Evidence on Scalable Video Coding"; ISO/IEC/JTC1 SC29/WG11 Doc. No. M9756; Trondheim, Norway; pp. 1-17; July 2003								
EXAMINER				DATE CONSIDERED						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.